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## Abstract

Advanced cancers characterized by fulminant metastasis and drug resistance remain the top challenge in cancer research. Here we introduce a new general approach to this challenge termed **Cryo-Immune Vaccination (CIV)**. Briefly, CIV involves several treatment cycles comprised of (1) cryo-lysis of a single lesion in a metastatic subject, to generate an *in situ* personalized vaccine at each cycle, plus (2) locoregional infusion of the cryo-lysed lesions with a low-dose cocktail of multiple immunotherapeutic drugs. This type of device/drug-generated cancer vaccine has never been explored methodologically. **Notably, positive systemic responses to this locoregional treatment are being observed in Phase 1 studies of SYNC-T therapy, the CIV lead approach. Accordingly, there is an urgent need of preclinical models to investigate the basis for CIV safety, efficacy and mechanisms of action.** Here we report the creation of a mouse model of CIV treatment, adapting the metastatic murine breast tumor 4T1 to study allometrically scaled doses of SYNC-T therapies in clinical development by Syncromune Inc. (i.e., SV-101 and SV-102). **Our work establishes an initial model of CIV treatment for metastatic solid tumors, and it suggests that CIV may promote survival by a process that relies upon IDO1 blockade.**

## Background

### CHALLENGES:

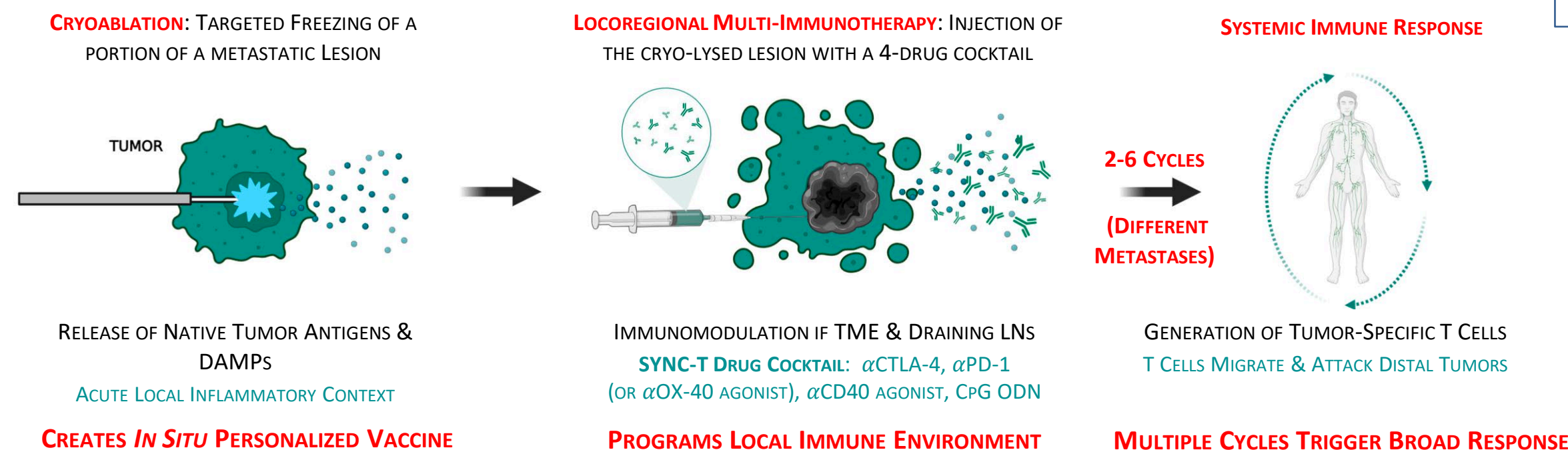
Cancer immunotherapy outcomes might be improved in advanced cancer patients by combining personalized neoantigen vaccines with higher-order combinations of immunotherapy drugs (>2 drugs). However, key questions exist:

- (1) Which neoantigens & adjuvants in vaccines? Many uncertainties and complexities exist in the choices needed to elicit effective response in different patients<sup>1,2</sup>.
- (2) Where and how to deliver vaccines? Immune context within and proximal to the TME may be important to guide effective neoantigen and adjuvant responses<sup>3,4</sup>.
- (3) How to bypass toxicities of multi-API immunotherapy (i.e. >2 drugs)? Systemic administration of higher-order ICT causes acute autoimmune reactions.<sup>5</sup>

### PROPOSED SOLUTIONS:

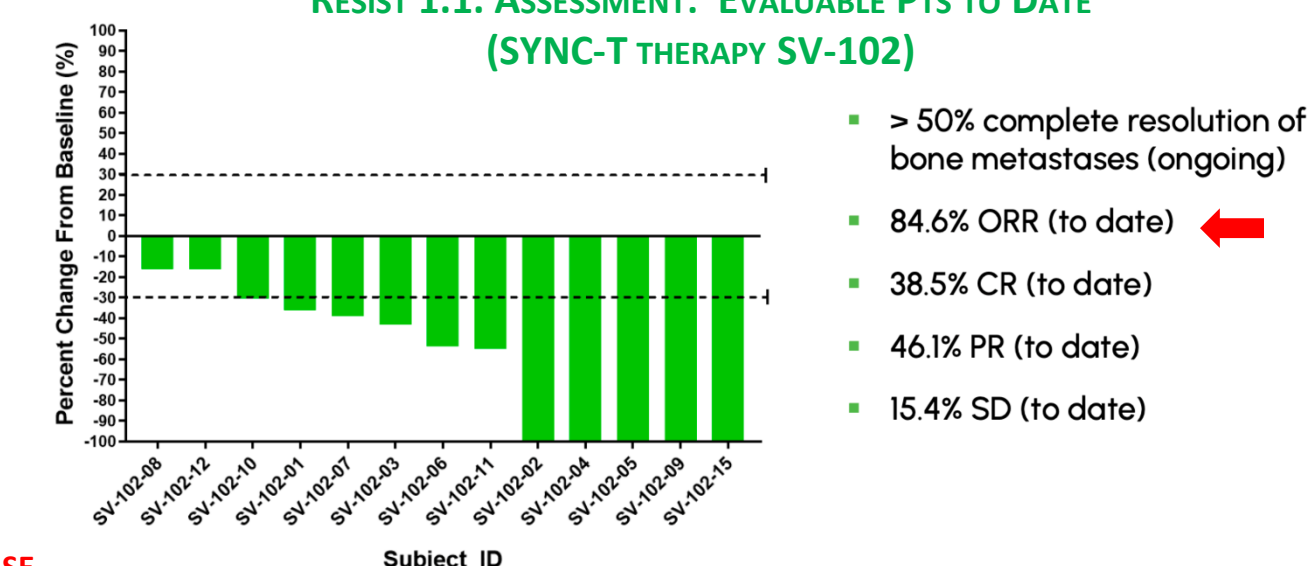
- (1) Tumor cryoablation to create intratumoral waves of native (non-denatured) neoantigens in a highly inflammatory adjuvant setting ('hypothermic frostbite'). Tumor cryoablation exerts positive immunogenic effects, including at distal untreated lesions<sup>6,7</sup>. Each cycle of cryoablation of a metastatic lesion generates neoantigens presented in a local immune context ('lesion-personalized vaccine').
- (2) Locoregional injection of higher-order immunotherapy combinations (>2 drugs) to improve immune context and limit autoimmune toxicities of systemic delivery. Locoregional ICT can trigger systemic antitumor immune responses<sup>3,4</sup>. Each cycle of CIV includes an intratumoral injection of a low-dose immunotherapy 'cocktail' into the cryo-lysed lesion, relieving local immune suppression while limiting systemic autoimmune attacks.

## OVERVIEW OF CRYO-IMMUNE VACCINATION WITH SYNC-T THERAPY



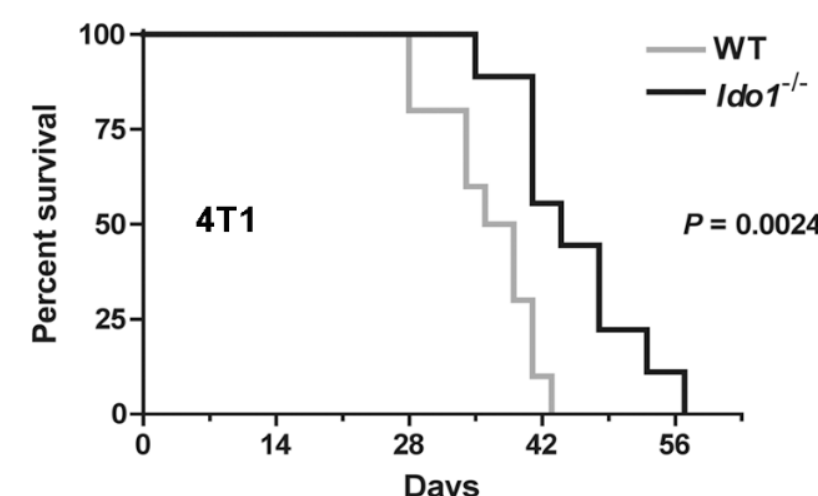
## PHASE 1 DATA: METASTATIC CRPC PTS (LINK CJ ET AL., AACR 2024 ABSTRACT PRESENTATION)

### RESIST 1.1. ASSESSMENT: EVALUABLE PTS TO DATE (SYNC-T THERAPY SV-102)

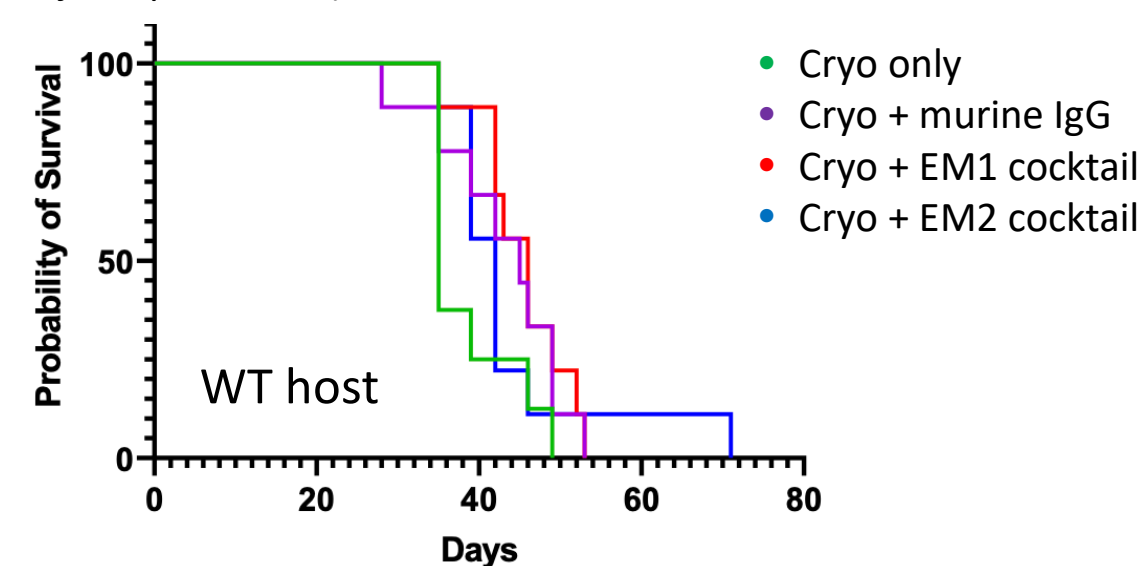


## Results

**Fig. 1. BALB/c host survival after orthotopic engraftment of 4T1 BRCA cells.** Primary tumors were initiated by injection of mammary fat pads ( $1 \times 10^4$  cells). Lung metastases seeded rapidly in this aggressive model cause death. *Ido1*<sup>-/-</sup> mice survive longer than WT mice but eventually succumb to death due to lung metastases<sup>8</sup>.



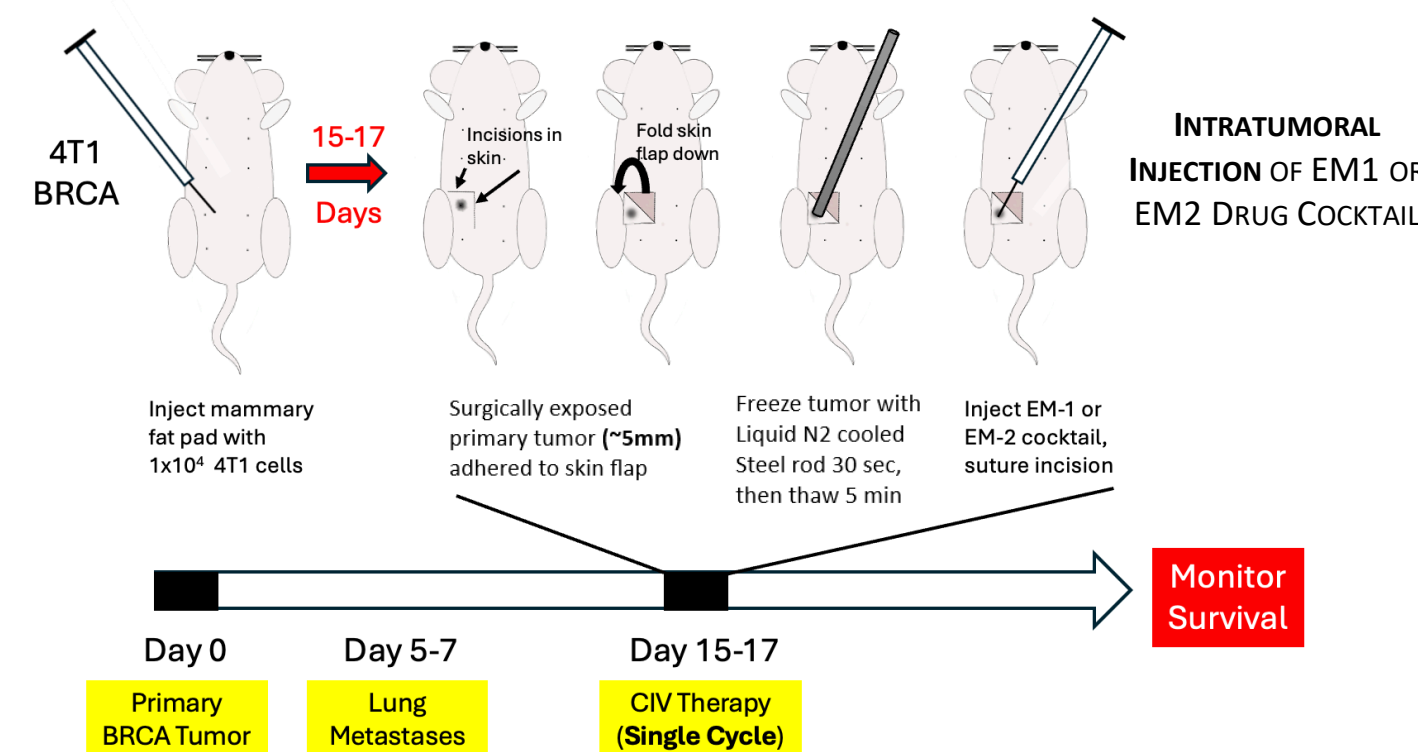
**Fig. 3. CIV does not affect survival in WT hosts engrafted with 4T1 BRCA.** Results reflect the mean survival from two trials of each therapy (n=5 subjects per cohort).



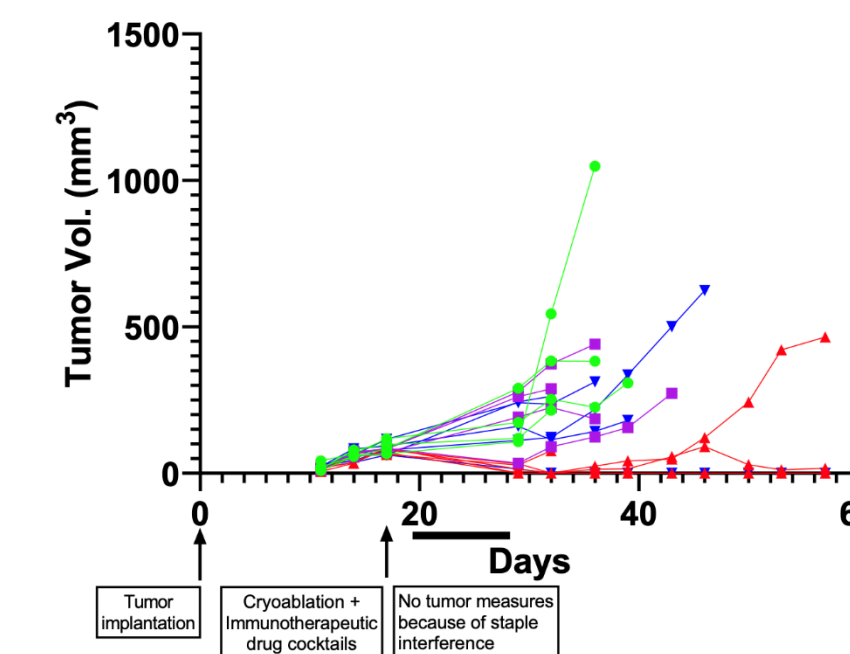
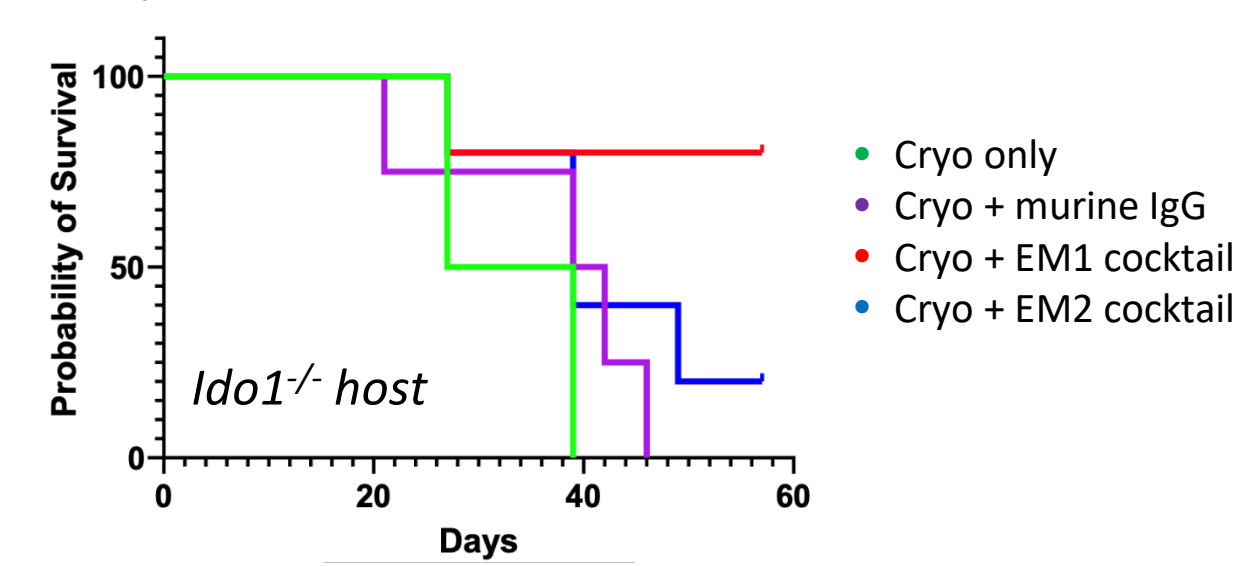
**Fig. 2. CIV Treatment Design.** Iceball formed by liqN2-cooled probe partially ablates tumor (50-70%). Doses of immunotherapy drugs (Invivogen) were allometrically scaled human to mouse and injected in a single bolus of 25  $\mu$ l into the cryolysis-cleared gel-like part of the tumor.

**EM1 cocktail** = murine  $\alpha$ CTLA-4,  $\alpha$ OX-40 AGONIST,  $\alpha$ CD40 AGONIST, CpG ODN (50 $\mu$ g EACH)  
= SYNC-T human therapy SV-101

**EM2 cocktail** = murine  $\alpha$ CTLA-4,  $\alpha$ PD-1,  $\alpha$ CD40 AGONIST, CpG ODN  
= SYNC-T human therapy SV-102



**Fig. 4. CIV promotes survival in Ido1-/- hosts engrafted with 4T1 BRCA.** Results reflect the survival from one trial of each therapy (n=5 subjects per cohort).



## Methods and Materials

**Murine BALB/c 4T1 breast tumor graft model.** 4T1 is an aggressive orthotopic breast tumor that metastasizes to lung, bone and brain. To initiate tumors, naïve immunocompetent BALB/c hosts were engrafted with  $1 \times 10^4$  4T1 cells by injection into mammary fat pads.  
**Tumor cryoablation.** When primary tumors reached ~5-7 mm in largest extent, a time when occult pulmonary metastases are already seeded<sup>8</sup>, they were exposed surgically on skin flaps and contacted directly with a liquid nitrogen-cooled blunt-pointed 8 mm steel rod for 30 sec until a visual iceball was observed. The goal was to produce a partial ablation of ~80% of the tumor. The rod was then withdrawn and tumor tissue allowed to thaw fully (~5 min) as monitored visually and by re-warming of the external side of the skin flap by touch.  
**Intratumoral drug injection.** Cryo-lysed primary tumors were administered one (1) cycle of a multidrug cocktail (or negative control murine IgG) in a total volume of 25  $\mu$ l. Drugs matched those in SYNC-T therapies SV-101 and SV-102 presently in human trials (Syncromune, Inc.), including murine-reactive mAbs against CTLA4, PD-1 or OX40, CD40L and CpG-ODN (Invivogen). Doses were allometrically scaled to SV-101 and SV-102 (Syncromune, Inc.). Skin flaps were sutured and subject survival was monitored post-operatively<sup>8</sup>.

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